

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                              |   |                       |
|------------------------------|---|-----------------------|
| In re Patent Application of: | ) | Group Art Unit: 2822  |
| Shunpei YAMAZAKI et al.      | ) | Examiner: M. Guerrero |
| Based On: 09/118,010         | ) |                       |
| Filed: July 17, 1998         | ) |                       |
| For: SEMICONDUCTOR DEVICE,   | ) |                       |
| METHOD OF FABRICATING THE    | ) |                       |
| SAME, AND, ELECTROOPTICAL    | ) |                       |
| DEVICE                       | ) |                       |

**INFORMATION DISCLOSURE STATEMENT**

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P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application.

Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

The references listed on the attached Form PTO 1449 were cited in parent application Serial No. 09/118,010 and predecessor Application Serial Nos. 08/962,840 and 08/575,355 and copies of the references can be found in those applications.

Respectfully submitted,



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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(use as many sheets as necessary)</i> |   |                          |   | Application Number       |  |
|  |   |                          |   | Based on 09/118,010      |  |
|  |   |                          |   | Filing Date              |  |
|  |   |                          |   | July 17, 1998            |  |
|  |   |                          |   | First Named Inventor     |  |
|  |   | Shunpei YAMAZAKI, et al. |   |                          |  |
| Group Art Unit   |   | 2822                     |   |                          |  |
| Examiner Name  |   | M. Guerrero              |   |                          |  |
| Attorney Docket Number   |   | 0756-7279                |   |                          |  |
| Sheet  | 1 | of                       | 4 |                          |  |

| U.S. PATENT DOCUMENTS |                          |                      |                                      |  |  |  |
|-----------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant of Cited<br>Document | Date of Publication of Cited<br>Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant Figures Appear |
|                       |                          | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |  |
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|                       |                          | 4,740,829            |                                      | Nakagiri et al.                                    | 04/26/88   |  |
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| Examiner Initials <sup>*</sup> | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                                |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |   |   |   |                |
|                                |                       | EP                      | 0 161 555           |                                      |   | 11/21/85  |   | Eng.           |
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|                                |                       |                         |                     |                                      |   |   |   |                |

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|  |   |    |   | Examiner Name            |  | M. Guerrero              |
| Sheet  | 2 | of | 4 | Attorney Docket Number   |  | 0756-7279                |

| U.S. PATENT DOCUMENTS |                          |                      |                                      |  |  |  |
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|                                   |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |  |  |   |                |
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| Sheet  | 3 | of                       | 4 | Attorney Docket Number   | 0756-7279 |

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|                       |                          | 4,680,580            |                                      | Kawahara   | 07/14/87   |  |
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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